# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty) 2 3 III 2005

(PCT Article 36 and Rule 70)

NEC D	23	JUN	2003
WIDO			DOT

Applicants or agents file reference	FOR FURTHER ACTION See Form PCT/IPEA/416			
International application No. PCT/EP2004/002833	International filing date (day/n	nonth/year) Priority date (day/month/year) 27.03.2003		
International Patent Classification (IPC) or na B05B17/06	tional classification and IPC			
Applicant UNILEVER PLC et al				
This report is the International preli Authority under Article 35 and trans	minary examination report, smitted to the applicant acco	established by this International Preliminary Examining ording to Article 36.		
2. This REPORT consists of a total of 6 sheets, including this cover sheet.				
3. This report is also accompanied by ANNEXES, comprising:				
a. $\square$ sent to the applicant and to the International Bureau) a total of sheets, as follows:				
sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).				
sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.				
b.   (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)), containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).				
4. This report contains indications rela	ting to the following items:			
—				
Box No. I Basis of the opinion     Box No. II Priority     Box No. II	on			
	ob of animina with a second			
☐ Box No. IV Lack of unity of in	it of opinion with regard to r	novelty, inventive step and industrial applicability		
☐ Box No. V Reasoned statem	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
☐ Box No. VI Certain document	s cited	orang sacin statement		
☐ Box No. VII Certain defects in	Certain defects in the international application			
☐ Box No. VIII Certain observatio	Box No. VIII Certain observations on the international application			
Date of submission of the demand	Date	of completion of this report		
30.08.2004	24.0	6.2005		
Name and mailing address of the international preliminary examining authority:	i	rized Officer		
European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016		5, V hone No. +31 70 340-2987		

# INTÈRNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/EP2004/002833

_	Box No.	Basis of the report			
1.	With rega filed, unle	rd to the <b>language</b> , this report is based on the international application in the language in which it was otherwise indicated under this item.			
	☐ This which	report is based on translations from the original language into the following language , n is the language of a translation furnished for the purposes of:			
	□ pı	ternational search (under Rules 12.3 and 23.1(b)) ublication of the international application (under Rule 12.4) ternational preliminary examination (under Rules 55.2 and/or 55.3)			
2.	nave beel	rd to the <b>elements*</b> of the international application, this report is based on <i>(replacement sheets which furnished to the receiving Office in response to an invitation under Article 14 are referred to in this "originally filed" and are not annexed to this report):</i>			
	Description	n, Pages			
	1-17	as originally filed			
	Claims, N	umbers			
	1-23	as originally filed			
	Drawings,	Sheets			
	1/7-7/7	as originally filed			
	□ a seq	uence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing			
3.		mendments have resulted in the cancellation of:			
		e description, pages e claims, Nos.			
		☐ the drawings, sheets/figs ☐ the sequence listing <i>(specify)</i> :			
	□ an	y table(s) related to sequence listing <i>(specify)</i> :			
4.	nad not be	eport has been established as if (some of) the amendments annexed to this report and listed below een made, since they have been considered to go beyond the disclosure as filed, as indicated in the ntal Box (Rule 70.2(c)).			
		e description, pages e claims, Nos.			
	□ the	e drawings, sheets/figs			
		e sequence listing <i>(specify)</i> : y table(s) related to sequence listing <i>(specify)</i> :			
	* If it	em 4 applies, some or all of these sheets may be marked "superseded."			

## INTÈRNATIONAL PRELIMINARY REPORT ON PATENTABILITY

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

3, 6, 13-15, 18, 19, 21, 23

No: Claims

1,2,4,5,7-12,16,17,20,22

Inventive step (IS)

Yes: Claims

No: Claims

1-23

Industrial applicability (IA)

Yes: Claims

1-23

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

#### Re Item V.

- 1 The following document is referred to in this communication:
  - D1: WO 97/17933 A (AEROPAG USA INC ; BABAEV ELIAZ P (US)) 22 May 1997 (1997-05-22)
  - D2: DE 28 27 322 A (AUDI NSU AUTO UNION AG) 10 January 1980 (1980-01-10)
  - D3: WO 02/24150 A (ADVANCED MEDICAL APPLIC INC; BABAEV ELIAZ (US)) 28 March 2002 (2002-03-28)

### 2 INDEPENDENT CLAIM 1

2.1 The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 1 is not new in the sense of Article 33(2) PCT.
Document D1 discloses (the references in parenthesis applying to this document):

A method of generating a spray comprising subjecting a liquid on a continuous atomisation surface (122) to acoustic vibration, characterised in that the continuous atomisation surface (122) comprises multiple hollows (124) from which the liquid is atomised.

#### 3 INDEPENDENT CLAIM 16

3.1 The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 16 is not new in the sense of Article 33(2) PCT.
Document D1 discloses (the references in parenthesis applying to this document):

A spray device comprising a continuous atomisation surface (122), a reservoir (38) for holding the liquid to be atomised, means for transferring the liquid (62a, 62b, 62c, 76) from the reservoir (38) to the atomisation surface (122), and means (70, 71, 73) for subjecting the continuous atomisation surface (122) to acoustic vibration, characterised

in that the continuous atomisation surface (122) comprises multiple hollows (124) from which the liquid is atomised.

### 4 INDEPENDENT CLAIM 22

4.1 The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claim 22 is not new for substantially the same reasons as for claim 16.

## 5 DEPENDENT CLAIMS 2,4,5,7-12,16,17,20,22

The subject matter of claims 2,4,5,7-12,16,17,20,22 is also known from the same document D1 and is therefore considered to lack novelty.

#### 6 DEPENDENT CLAIMS 3,6,18,19.

The features of claims 3,6,18,19, relate to the shape or size of the hollows. These features are merely one of several straightforward possibilities from which the skilled person would select, in accordance with circumstances, without the exercise of inventive skill, in order to achieve the desired spray shape.

Thus the subject matter of claims 3,6,18,19 lacks an inventive step.

# 7 DEPENDENT CLAIMS 13-15,23

The features of claims 13-15, 23 are merely one of several straightforward possibilities from which the skilled person would select, in accordance with circumstances, without the exercise of inventive skill, in order to generate a spray of the selected product. Thus the subject matter of claims 13-15, 23 also lacks an inventive step.

#### 8 DEPENDENT CLAIM 20

Feature means for generating an air flow is described in document D2 as providing the same advantages as in the present application. The skilled person would therefore regard it as a normal design option to include this feature in the spray device described

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in document D1 in order to solve the problem of carrying the nebulized liquid. Thus the subject matter of claim 20 also lacks an inventive step.